

**SHEET OF GETTING INVESTOR’S WRITTEN OPINION**

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**I. General information on the DFVN Capital Appreciation Fund (DFVN-CAF) and Dai-ichi Life Vietnam Fund Management Company Limited**

- The Fund’s name in Vietnamese : Quỹ Đầu tư Tăng trưởng DFVN
- The Fund’s name in English : *DFVN Capital Appreciation Fund*
- Abbreviated name : DFVN-CAF
- The Certificate of public offering registration No. 34/GCN-UBCK issued by the State Securities Commission dated January 3<sup>rd</sup>, 2019

Managed by:

**Dai-ichi Life Vietnam Fund Management Company Limited**

- License of establishment and operation No. 53/GP-UBCK issued by the State Securities Commission on February 10<sup>th</sup>, 2014, and the adjusted licenses
- Address: Floor 11<sup>th</sup>, 149 – 151 Nguyen Van Troi, Ward 11, Phu Nhuan district, Ho Chi Minh City

**II. Purpose of getting opinion**

- Getting the Investor’s written opinion on the contents which need to vote at the fiscal year 2021 General Investors Meeting of the DFVN Capital Appreciation Fund. Every Investor shall have 01 Sheet of getting opinion (voting).

**III. Investor’s information**

Name of Investor: .....

Transaction Account Number No.: .....

Nationality: .....

Business License No./ID/Citizen Identification/Passport: .....

The number of Fund Certificates (number of voting right): .....

Holding ratio: .....

Contacting/Head Address: .....

**Additional information for institutional Investor:**

Name of Authorized person: .....

Nationality: .....

ID/Citizen Identification/Passport No.: .....

Contacting Address: .....



I/we have the right to vote on the contents of the fiscal year 2021 General Investors Meeting of the DFVN Capital Appreciation Fund (“DFVN-CAF” or “Fund”) as followings:

*(Kindly please stick only 01 (one) option “Agree”, “Disagree”, “No opinion” for each content)*

NO.	CONTENTS GETTING OPINION	AGREE	DISAGREE	NO OPINION
(1)	Approve on 2021 Performance Report of the DFVN-CAF <sup>1</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2)	Approve on the 2021 audited Financial Statements of the DFVN-CAF <sup>2</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3)	Approve the contents of the Fund Representative Board as follows:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- The list of members of the Board of Representatives for the term 2022-2027 includes:			
	<ul style="list-style-type: none"> <li>▪ Mr. Do Hung Viet</li> <li>▪ Mr. Huynh Van Dung</li> <li>▪ Mr. Nguyen Gia Huy Chuong</li> </ul>			
	- Appoint Mr. Do Hung Viet as Chairman of the Fund Representative Board for the term 2022-2027	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- Approve the remuneration of the Fund Representative Board is VND 5,000,000 /month/person and the total remuneration in 2022 is VND180,000,000.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4)	Authorize the Fund Representative Board to select 01 (one) of 03 (three) audit companies including KPMG, PWC and Deloitte Vietnam to perform auditing for the DFVN-CAF in fiscal year of 2022	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(5)	Approve the amendment, supplement of DFVN-CAF Charter <sup>3</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Kindly please send the Sheet of getting opinion by **April 22<sup>nd</sup>, 2022** as the latest in 01 (one) of following methods:

- To the Company's address: Dai-ichi Life Vietnam Fund Management Company Ltd. Floor 11<sup>th</sup>, 149-151 Nguyen Van Troi, Ward 11, Phu Nhuan district, Ho Chi Minh City; The Opinion Sheet is contained in a sealed envelope.
- Send email and attach the scan or snapshot of the Sheet of getting opinion to the email's address at [dfvn\\_cs@dai-ichi-life.com.vn](mailto:dfvn_cs@dai-ichi-life.com.vn) from the email address registered with the Company.

**INVESTOR<sup>4</sup>**

(Sign and fill in full name)

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Date: ...../...../2022

**LEGAL REPRESENTATIVE**



**Tran Chau Danh**

Chief Executive Officer

<sup>1</sup> Content for getting opinion uploaded on the Company's website: <https://www.dfvn.com.vn/investor-relations/information-disclosure/dfvn-caf>

<sup>2</sup> Content for getting opinion uploaded on the Company's website: <https://www.dfvn.com.vn/investor-relations/information-disclosure/dfvn-caf>

<sup>3</sup> Content for getting opinion uploaded on the Company's website: <https://www.dfvn.com.vn/investor-relations/information-disclosure/dfvn-caf>

<sup>4</sup> For the institutional Investor, please help to sign and fill in the full name of the Legal Representative or Authorized person

**Dai-ichi Life Vietnam Fund Management Company, Ltd. – DFVN**

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